

We have received the Inception Report of **Cluster-I** comprising of Dum Dum, South Dum Dum, North Dum Dum, Baranagar, Panihati and New Barrackpore submitted by Ernst Young, Transaction Advisor on 19th April'19 through mail and 23rd through hard copies.

The Inception Report, so submitted consists of Project details, Approach and Methodology, Work Plan, Deliverables

While preparing this inception report, basic information was received by the Ernst Young in the form of query from the ULBs concerned during the introductory meeting held on 9th April at the office of SUDA.

This Inception Report is a very basic attempt to prepare a guideline that eventually will lead to an exhaustive planning taking into consideration the Techno feasibility aspects of the project itself. This Inception Report will be followed by very intrinsic Micro Planning right from 100% collection of wastes from each and every household in a segregated way, its transportation to the secondary point / transfer station, if feasible, type of vehicles in operation and further requirement, if any for transportation from household/ commercial institutions , assessment of conservancy staff and their optimal utilization, assessment of financial inputs at present inclusive of existing budgetary provision on SWM with regards to manpower, machinery , equipments and recurring expenditure. The report will also assess on real term basis the Gap Analysis, disposal of segregated waste by the sustainable, efficient technology in compliance of the SWM Rule 2016, Directives of NGT and guidelines of MoUHA, disposal of legacy waste based on the quantum and topographical condition of the existing dumping ground.

Feasibility study and it's subsequent report on financial assessment, structuring of project, preparation of Bid Documents, floating of EoI, Bid evaluation management, proposal of PPP model , wherever feasible, proper identification of risk factor at various stages from planning to implementation , Request for Qualification, Request for Proposal, preparation of TfR, preparation of Concession Agreement, finding bonafide agencies for participation in the Bid Process having that requisite technical and financial capability, signing of MoU is also within the ambit of works to be done by the TAs in the later part.

The Inception report , as submitted by the Ernst Young may be placed in the meeting with the ULBs concerned to be held on 24th April for detailed discussion and may accepted following observation in that meeting , if approved.

23/4

My kindly be perused.

24.04.19.

Signed.

Self 24/4/19

Addl. Mission Director
SUDA (V)

Director

DD

2

Following field verification and discussion with the officials of KMDA and SUDA an assessment report was submitted by EY on Cluster 1, 3 & 4. Salient points of observation are as follows :-

- Dumping of huge live waste at Promodnagar site together with the legacy waste measuring nearly 10 lakh MT is too much for a space of 20 acres of land. Until & unless the waste from Promodnagar site is disposed off by reclaiming land through the process of bio remediation it will not be possible to go for installation of Processing Plant, MRF.
- Again it is estimated to be 60-70% practice if 10 lakh MT of waste will be inert & as per protocol of NGT these 6-7 lakh MT of inert are to disposed off preferably in low lying areas.
- The Promodnagar site is not suitable for setting up of SLF as it does not fulfil the land selection criteria as mentioned in the scheme I of SWM Rule 2016 taking into consideration vicinity of residential settlement, legacy, Water bodies, luffer zone of airport at Dum Dum. So getting environmental clearance (EC) from the competent authority specially for setting up of SLF will be a major issue.
- Again, even if somehow EC be issued by the competent authority by relaxing conditions, setting up SLF at Promodnagar site means we are to compromise with both the processing plant & SLF for a design period of 20-25 years which is required as per SWM Rule 2016.

As such we may look for :-

- Decongestion of Promodnagar site & for that we may explore the existing dumpsite at Kamarhati having area of approx 8 acres full of legacy waste and reclaimed that land by treating through the process of bio remediation/ bio mining. It is also proposed that simultaneously processing plant of live waste as well as MRF can be done. So in that case Kamarhati could not be require to be added to the present cluster. It is also proposed that New Barrackpore Municipality be integrated with Kamarhati and not to be tagged with Promodnagar site. It will reduce stress on Promodnagar site by receiving waste amount around 100-200 TPD.
- Promodnagar site should ideally cater waste from Dum Dum, North Dum Dum, South Dum Dum & Baranagar Municipality. Accordingly a plant of 500-600 TPD capacity should be planned at Promodnagar site taking into consideration the design period of 20-25 years. Here land reclamation activities by the process of bio remediation/ bio mining has to taken up simultaneously.
- KMDA has identified a low lying area near Panihati that can be filled up with good earth/ soil by disposal of legacy waste following due process of bio remediation. For that chemical composition of treated good earth/ soil should comply with all prescribed norms as per SWM Rule, 2016, Environment Protection Act & NGT directives.
- WBIDC, WBSIDC, HIDCO, NDITA, NKDA may be approached that they inserts may be used for filling up of low lying areas/ city development projects and land scaping component.
- A part of legacy waste reject from Cluster I site may be taken to Cluster 4 RWMC site for disposal.
- Shifting of SLF from Promodnagar would also facilitate of developer during initial operation at site as because only then enough of space will be available for mobilizing civil machinery & other equipments to carry out activities viz. remediation of legacy waste, handling of fresh waste and make new plant operational simultaneously.

- This is also to be noted that at present two activities at Promodnagar site is going on, one a 50 TPD compost plant for which civil portion is almost near completion and the electro mechanical equipments are yet to be installed.
- With regards to SLF which is also under scope of work as is being executed by KMDA, only embankments have been designed as of now and no liner materials has been put in place nor the venting pipes.
- As such it is suggested that till new contract is awarded to the successful developer for Promodnagar site which is being executed by KMDA, the existing work of 50 TPD compost plant and SLF should be put on hold immediately and present assets should be handed over to the new developer on as it is basis as because
 - when any new developer will come into picture, he may have its own design of possessing line with different specification of liner material.
 - the waste dumping profile at Promodnagar site is approximately 2-3 metres below th GL as was informed by KMDA officials. However, the present SLF is being constructed by KMDA to compacting the waste approximately 1 metre from GL which is perhaps technically not correct.
- Therefore it is suggested that any further progress should be stopped and present assets should be handed over to the new developer on as it is basis.

With regards to cluster 3 involving Ashokenagar Kalyangarh Municipality and Habra Municipality total Municipal waste is around 125 TPD. The present dumping site at Ward No.15 of Ashokenagar Kalyangarh Municipality beside NH 34 to NH 35 link of approximately 18-20 acres of land are suitably fine for setting up of processing plant, MRF and SLF.

With regards to Cluster 4 comprising of Bhadreswar, Baidyabati, Champdany, Serampore, Rishra, Konnagar & Uttarpara-Kotrung are generating nearly 400-450 TPD of waste . There are 6 compost plant in each of this cluster except Bhadreswar & Dankuni. This 6 compost plant was constructed by KMDA out of Jica funding. There is one Regional Waste Management Complex (RWMC) developed under Jica project which incorporates Sanitary Landfill Facility (SLF), Leachate Treatment Facility and Faecal Sludge Management Facility.

It was observed that Bhadreswar Municipality has a six acre dumpsite which can be used for setting up of processing plant, MRF by reclamation of land treating legacy waste. Rejects from legacy waste can be shifted to the present SLF site at Baidyabati. For Dankuni, considering the distance and the quantum of land available it is suggested that though processing plant and MRF can be set up there but the inert will go to the SLF site at RWMC on weekly basis.

Placed for kind perusal.

D/S/19
Deputy Director, SUDA

May kindly be perused.

16.05.19.

*Add. Mission Director,
SEM (V)*

Dineetha

NSP 2-3 may be referred to.
If approved, observations of EY may be shared with KMDA and ME Dte for taking further actions at their end.

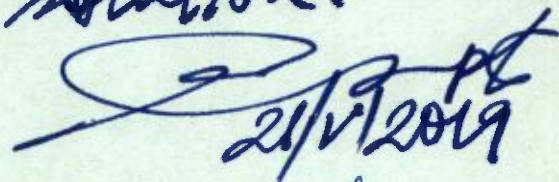
Pr. Secretary
UD & MA Deptt.

Daf 20/5/19

U.O. No. SUDA 265
Dated 21/05/2019
File No. SUDA-366/2019

Yes. Also when we have interaction with Model Towns next week, we may ask TA to present their solution.

Director, SUDA


21/5/2019

Instruction above. TA be informed accordingly.
DD to put up draft for KMDA and ME Dte.
Daf 22/5/19

~~AD (YM)~~

23.05.19

~~DD (AD)~~

Sub: Invoice against submission and acceptance of Inception Report of Cluster-1

It may kindly be recall that Ernst & Young was engaged as Transaction Advisor for assisting the State Government for planning of scientific Solid Waste Management through Cluster approach and bid process management for selection of developers and operators for Cluster-1 ULBs viz-Dum Dum, North Dum Dum, South Dum Dum, Baranagar, Kamarahati and New Barrackpore. The total contract value is Rs. 28,93,684/- excluding GST. The terms of payment is placed below:

Stage	Details of stages	Percentage
Stage 1A	Submission of the Inception Report	5%
Stage 1B	Acceptance of Inception Report by the Authority within 7 working days from the date of submission	5%
Stage 2A	Submission of Draft Feasibility Report by the TA	5%
Stage 2B	Acceptance of Draft Feasibility Report, by the Authority within 7 working days from the date of submission	5%
Stage 3	Submission of Final Feasibility Report along with action plan by the TA within 7 working days from the date of submission of corrected draft from the Authority	5%
Stage 4A	Submission of Draft RFP by the Agency	5%
Stage 4B	Acceptance of Draft RFP by the Authority within 30 working days of submission	10%
Stage 5A	Submission of the Final RFP for selection of Agency	10%
Stage 5B	Acceptance of the Final RFP by the Authority within 30 working days of submission	10%
Stage 6	Evaluation of the Tender Documents and recommendation for the Selected Agency	20%
Stage 7	Getting the Concession Agreement signed by the selected Agency	20%
TOTAL		100%

After that, E&Y has submitted the Inception report for Cluster-1 (Placed at **Flag- 'A'**) and acceptance/ approval of the inception report has been provided by the Director, SUDA vide No. SUDA-366/2019/3436 dated 24.04.2019 (Placed at **Flag- 'B'**).

Now, as per the condition of the above mentioned payment schedule, E&Y has submitted their invoice of 10% (5% + 5%) i.e. Rs.341455.42 (including 18% GST) for submission and acceptance of Inception report. (invoice Placed at **Flag- 'C'**).

As E&Y has fulfilled the conditions for payment of their above mentioned invoice, hence, the payment may be released.

Sujay 6.6.2019
(Sujay Mitra)

Chief Manager – Planning & Monitoring

DD
X

Following submission of ~~report~~ Inception Report within stipulated timeframe & acceptance of such report from this end, their claim to release (5% + 5%) = 10% of the invoice amounting to ₹ 341455.42 (including 18% GST) may be released, if approved a.v.t. Cluster - I

Proposal above may kindly be appd.

10.06.19.

Addl. Mission Director
SPM(V)
Director

FO

Pl check the claim and confirm receipt of Bk.

sdg 11/6/15

Acct. D

Pl. examine & put up.

sdg 13.10.18

As per notes and orders at NSP-01 to 04, prepage and above

Claim of Ernst & Young LLP amounting to Rs.3,41,454/- vide invoice no.IINL01DL008134 dated 30.05.2019 towards 10% of contract value amounting to Rs.28,93,684/- payable after submission and acceptance of inception report alongwith GST @ 18% thereon found to be in order.

Performance Bank Guarantee bearing no.PBGI01001900289 dated 17.05.2019 amounting to Rs.2,89,368/- i.e. 10% of contract value drawn on RBL Bank Ltd. is presently held with this section.

Placed for consideration please.

Finance Officer

~~sdg 14.06.19~~

sdg 17.06.18

Director SUDA

Source of funds be indicated pl.

CMPM

The expenditure may be incurred from Capex Building & A&OE fund of SIBM (U).
sdg 18/6/15

DD

May be approved as proposed
sdg 24/6

sdg 24.6.19

Director SUDA

Proposal at NSP 5-6.

'X' at NSP 5 may kindly be allowed considering Capacity Building / AROE funds under SRM tying with SUDA.

DD of 24/6/15

[Signature]
26/6/15

DD of 26/6/15

K/26/6

Pr. Secretary
UD & MA Dept.

Director, SUDA

DD/FO

FO

AcCA/P

Pl. process.

DD of 28.06.15

EY has submitted a draft TjR

on clause I

A brief note on the draft TjR ^{submitted} is prepared (CP side, flag X') that may kindly be forward.

note in page 09

If approved, we may send a copy of the brief note along with draft TjR to KMDA along the executing agency to take necessary action and to give their comments subsequent action to be included in the final TjR for their consideration.

We may on receipt of the report from KMDA can have a formal meeting with the chairperson of the Municipalities concerned in presence of KMDA official and EY, the TA for a letter understanding of all relevant issues that are to be addressed before finalizing Tender process.



NOTE SHEET



As per notes and orders at prepage

As approved by Director, SUDA at prepage, to release the payment in favour of "ERNST & YOUNG LLP" 01 (One) no. of Transfer Advice amounting to Rs.3,06,730/- (Rupees Three Lakh Six Thousand Seven Hundred Thirty only) onward submission to Axis Bank Ltd., Salt Lake, Sector-II Branch as detailed below, has been prepared and placed herewith for signature of both Finance Officers, SUDA please.

Pay order endorsed on the body of the bill is for signature of Finance Officer, SUDA please.

Name of Payee	Particulars	Gross Amt.	(10%) TDS Amt.	(2%) IGST Amt.	Net. Amt.
ERNST & YOUNG LLP	Release of Payment for Submission and acceptance of Inception Report (For Cluster No.3 : Dum Dum, North Dum Dum,, South Dum Dum, Baranagar, Kamarhati & New Barrackpore) vide invoice no.IINL01DL008134, dated 30.05.2019 against this office order no.SUDA-366/2019/3436, dated 24.04.2019.	3,41,455/-	28,937/-	5,788/-	3,06,730/-

Finance Officer, SUDA
AND
Finance Officer, SUDA

[Handwritten signature]
28/06/19

[Handwritten signature]
28/06/19

[Handwritten signature]
28/06/19

EY has submitted a draft TFR on clause I

A brief note regarding salient points mentioned in that draft is prepared (CP side flag X) that may kindly be pursued.

If approved, we may send a copy of that brief note along with draft TFR to KMDA, being the executing agency to take necessary action and to give their comments/suggestion that may be included in the final TFR for subsequent action later on.

We may, on receipt of such report from KMDA can have a formal meeting with the Hon'ble Chairpersons of all the Municipalities in presence of officials of WDCMA, KMDA as well as EY, the PA for a better understanding of all relevant issues that require to be addressed before floating Tender process for concessionaires.

A draft 'MOU' has been forwarded to the effect by Sri Sisir K. Baidya, Chief Secy, KMDA w charge of SWM regarding sharing of assets, infrastructure, liabilities and revenue [CP side flag Y] that may kindly be seen.

Placed for kind perusal alongside a draft letter. [CP side flag Z]

Thrift

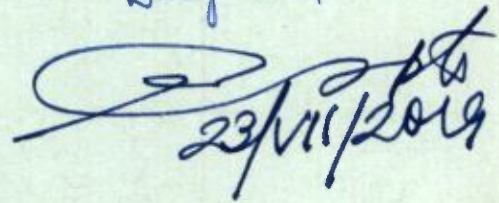
Add. Mission Director,
SWM (U)

Following direction a meeting was held on 15th July at the chamber of CEO/KMDA and subsequent meeting on 16th July with the officials of KMDA and SUDA as well on 17th July, the TA about findings on draft TFR & field visit by officials of KMDA & SUDA, the minutes of the meeting has been prepared & placed for kind perusal.

23/7/19

Draft minutes is enclosed at flag A for signature, if approved.

sd/ 23/7/19


23/7/2019

Director, SUDA

Pr. Secretary
UD & MA Dept.

Director, SUDA

U.O. No. SUDA... 381

Dated... 23.07.19

File No. SUDA - 366/2019

Pr. Secretary
UD & MA Dept.

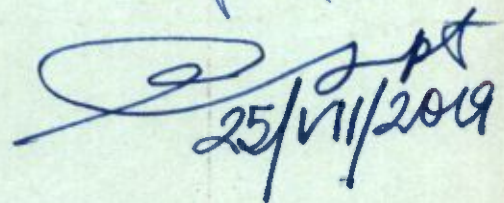
Revised draft- submitted for signature, if approved.

sd/ 24/7/19

U.O. No. SUDA... 389

Dated... 25.07.19

File No. SUDA - 366/2019


25/7/2019

Director, SUDA

sd/ 26/7

UM/PM

Management of Municipal Solid Waste (MSW) has become a major area of concern in the state. It is seen that almost all ULBs are unable to process MSW in the required manner in the absence of necessary technical competence and experience.

Teams from SUDA and ULBs have been sent to different cities around the country to see for themselves the model employed by them to comply with the provisions of the SWM Rules 2016. Based on their experience, it was felt that an activity of such complexity can be effectively carried out only by experienced agencies having the requisite technical capacity, experience and financial strength. In view of this, we have adopted a comprehensive plan to address the problem keeping in view the experience in other cities in India [Copy of note placed at Flag 'F'].

Accordingly, Transaction Advisors were engaged after obtaining limited bids from amongst consultants approved by the Finance Department and they have been allotted different clusters for carrying out necessary studies. Earnst & Young has come up with Technical Feasibility Report [Flag 'A'], Request for proposal Document [Flag 'B'] and Concession Agreement [Flag 'C'] for management of solid waste covering seven municipalities.

This proposal is first RfP in the series covering the Pramodnagar site for management of solid waste pertaining to the municipalities of Dumdum, North Dumdum, South Dumdum, Baranagar, Kamarhati, New Barrackpore and Panihati.

The proposal involves the selection of an agency for bio-remediation of legacy waste accumulated at Pramodnagar site and setting up processing and disposal facility at the Pramodnagar site following bio-remediation.

The essential components of the proposed project are :

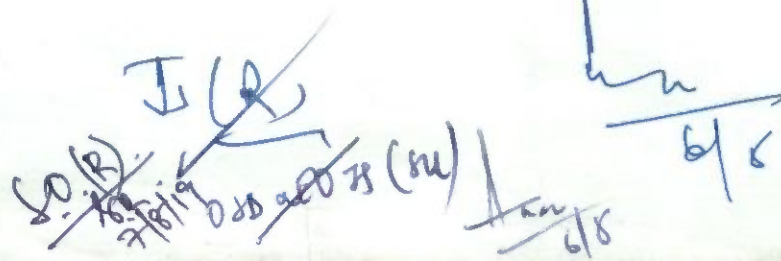
1. Bio-remediation of legacy waste at Pramodnagar and Kamarhati sites with an estimated volume of 6.6 lakh cubic metre.
2. Setting up of compost and RDF facility at Pramodnagar with a capacity of 569 TPD and Bio-methanation plant of capacity 50 TPD.
3. A compost and RDF facility at Kamarhati for solid waste from Kamarhati and New Barrackpore Municipality with a capacity of 155 TPD.
4. Sanitary landfill facility for both plants at Pramodnagar and Panihati.

The Finance Department may approve the project in a PPP mode. Since the National Green Tribunal (NGT) is monitoring the implementation of the SWM Rules, 2016 on a regular basis and have set very strict timelines for implementation [order of NGT, Flat 'G', dated 2nd April, 2019]. It is requested that approval be provided early. In view of the very tight timelines, if Finance Department requires clarifications, the undersigned may be called for consultation.

Urban Development Deptt.
U/O No. 941
Dt. 8/2/19

ACS
Finance Deptt.


Subrata Gupta
Principal Secretary, UD&MA


SO (R) 7/2/19
DSD 200 75 (su)
6/8



**Workflow Based File Tracking System
Government of West Bengal
Finance - Group T**

File No : SUDA-366/2019

Subject : SWM-Transaction Advisor E&Y-Cluster-I

Department : Urban Development

We may have no objection for implementing the project under proposed PPP mode. Dept may proceed with the Draft RFP, Concession Agreement and Technical Feasibility Report, subject to consideration of following points:

1. As per clause 1.4.4 Pg no 12 of RFP. Bid Security to be submitted in the form of bank draft. Performance Bank Guarantee form Annexure E may suitably be rectified.
2. In the Financial Bid, Pg 67 of RFP, bid format may state whether the rate quoted is inclusive of GST and other taxes, if any. It may be stated whether the rate quoted shall be valid for the entire period of Concession period or not.
3. In the RFP clause 1.3(3) Pg 12, it has been proposed that the formation of SPV to execute concession Agreement and implement the project on behalf of the Consortium. Whereas under clause 2.2.3 Pg 23 it has been proposed that Concession Agreement is to be signed by the Lead Member and other member as the confirming party. Both the said clauses may be aligned in line with first clause.
4. In Clause 2.2.2.2 (1) Pg 22, net worth during last 5 financial years are under consideration but under Clause 2.2.5 Pg 25 annual reports for last 3 financial years have been sought. The assessment may be based on last 5 financial year s net worth.
5. In pg 47 clause 3 1.6 Test of Responsiveness. Appendix B (General Information) Income tax clearance certificate for last 3 years and GST Registration Certificate to be included.
6. Appendix - IV Pg 68, may be renamed as Letter of Declaration based on the content of same.
7. In Clause 1.4.4 Pg no. 12, requires submission of a Performance Bank guarantee but under Clause-2.10.8 Pg. 31, the document has been excluded.
8. In clause 2.2.2.2 (5) Pg 22, to make Evaluation process less subjective attribute-wise marking system may be preferred.
- 9 The textual inconsistency may also be considered given in the annexure hereto.

UO NO : Group T/2019-2020/0470

UO Date : 20/08/2019

Sd/-
Finance Minister
19/08/2019

To,
UD & MA Deptt.

Sdehowdhury

21.08.19

SO (FD)

[Signature]
22/VIII/2019

At. Director, SUDA

Pl. do needful.

26.08.19.

EE, SuiB & Pal

ANNEXURE to F.D's U.O. No. Group T/2019-2020/0470 dated 20.08.2019

Page	Clause	Existing text	Remarks
13	1.4.7"The remaining bidders shall be kept in reserve".....	May be changed to "The remaining qualified bidders shall be kept in reserve"
15	2.1.6	**	No reference point found
16	2.1.10 & 11		The Financial Format referred as Appendix – II may be changed as Appendix- III (Refer page 67)
19	2.1.19		Bar on appointment of advisor may be set as a period of three years from the date signing of Concession Agreement instead of "completion of Project Term"
22	2.2.2.2"more than 60 (seventy)"....	May be changed to "more than 60 (sixty)" May be aligned with Clause 1.4.1 Pg 12
23	2.2.3	number of members of joint venture/consortium should not exceed 3 (three)	number of members of joint venture/consortium should not exceed 2 (two) To be aligned with Annexure- F, Clause - 4(c) Pg 58
25	2.3.2"as defined in the Draft Concession Agreement"	May be changed to "as defined in the Concession Agreement"
31	2.10.8 (a)		No format for Appendix- I found
36	3.1.8		May be aligned with Clause 2.2.2.2
46	Annexure-A, Clause-24 "Keep this offer valid for 120 (one hundred and twenty) days"..... "Keep this offer valid for not less than 120 (one hundred and twenty) days"..... The same may be aligned with Pg 66 Appendix II footnotes.
60	Clause-8		A Joint Bidding Agreement gets terminated on signing of Concession Agreement with SPV. It is not required to continue upto the completion of project.
68	Appendix – IV		The addressee may be the Director , SUDA, and West Bengal instead of Commissioner.
114	Annexure 10		The word 'premises' has been used in place of 'Land' in the Agreement.

12/8
22-8-19

The Transaction Advisor Ernst & Young has submitted the following three documents as per their deliverables vide e-mail date 26.07.2019(Flag-E):

1. Request for proposal for selection of developer for Bio-remediation of Legacy Waste and Setting up Processing and Disposal facility of Municipal Solid Waste for Cluster-1 ULBs i.e. of Promodnagar Cluster.(Flag-B)
2. Concession agreement.(Flag-C)
3. List of prospective bidders .(Flag-D)
4. Final Technical Feasibility Report for the assignment "Assisting the State Government for planning of scientific Solid Waste Management through cluster approach and bid process management for selection of developers and operators for cluster-1 ULBs.(Flag-A)

Placed for kind information and approval.

Sujay 26.7.19 -
(Sujay Mitra)

Chief Manager – Planning & Monitoring

Addl. SMD, SBM

May kindly be approved.

bij
26.07.19.

Director

NOI above.

Draft- RFP and CA may be sent to Finance Deptt for necessary approval.

Self 26/7/19

[Signature]
29/VII/2019

Po. Secretary
UD & MA Deptt.

U.O. No. SUDA 392

FA

Dated 28.07.19

File No SUDA- 366/2019

File No. : SUDA-366/2019

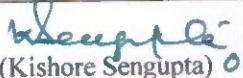
File Name: SWM-Transaction Advisor. E&Y-Cluster-1

Notes above

The proposal at n.s.p.11 relates to submission of Draft RFP and Concession Agreement, prepared by the Transaction Advisor M/S Earnst & Young for selection of PPP partner for "Bio-remediation of Legacy Waste and setting-up of processing and disposal facility MSW (Cluster-1- Dum Dum, South Dum Dum, North Dum Dum, Baranagar, Kamarhati and New Barrackpore Municipalities)", to Finance Department for necessary approval. Prior to approval of the draft RFP and Concession Agreement necessary approval of the project is required.

In terms of G.O. No. 5266-F(H) dated 21.06.2012 read with G.O. No.5811-F(H) dated 06.07.2012, the Administrative Department has to place the project to be developed in PPP mode along-with pre-feasibility report to the Empowered Committee of Secretaries (ECOS) through PPP Cell of Finance Department for approval by the ECOS.

In pursuance of the above-mentioned G.O.s, the proposal may be referred to, PPP Cell of the Finance Department through Group-R of F.D. for approval of the project by the ECOS.


(Kishore Sengupta) 01/08/19.
Financial Advisor
UD & MA Department

Principal Secretary, U.D & M.A. Department

ANNEXURE to F.D's U.O. No. Group T/2019-2020/0470 dated 20.08.2019

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2019

STATE URBAN DEVELOPMENT AGENCY

NOTE SHEET

File No.: SUDA-366/2019

Sub.: Payment on submission of draft Technical Feasibility Report – Regd.

Ernst & Young LLP, India, the Transaction Advisor, who had been engaged in *Assisting the State Government for Planning of Scientific Solid Waste Management through Cluster Approach and Bid Process Management for selection of Developers & Operators*, by the State Urban Development Agency for Cluster- I, i.e. the Cluster formed with Dum Dum, North Dum Dum, South Dum Dum, Baranagar, Kamarhati and New Barrackpore Municipalities, had submitted their invoice for release of Rs. 3,41,454.24 for submission and acceptance of Draft Feasibility Report by the TA.

Now, as per the Memorandum of Agreement made by the State Urban Development Agency and Ernst & Young LLP, the total Contract Price was Rs. 28,93,684.00, as in Appendix – F, whereas the GST is supposed to be paid by SUDA, as per the prevailing rate.

The payment schedule for the agreement, as referred in Appendix – G of the Contract Agreement, is as follows:

Stage	Details of stages	Percentage
Stage 1A	Submission of the Inception Report	5%
Stage 1B	Acceptance of Inception Report by the Authority within 7 working days from the date of submission	5%
Stage 2A	Submission of Draft Feasibility Report by the TA	5%
Stage 2B	Acceptance of Draft Feasibility Report, by the Authority within 7 working days from the date of submission	5%
Stage 3	Submission of Final Feasibility Report along with action plan by the TA within 7 working days from the date of submission of corrected draft from the Authority	5%
Stage 4A	Submission of Draft RFP by the Agency	5%
Stage 4B	Acceptance of Draft RFP by the Authority within 30 working days of submission	10%
Stage 5A	Submission of the Final RFP for selection of Agency	10%
Stage 5B	Acceptance of the Final RFP by the Authority within 30 working days of submission	10%
Stage 6	Evaluation of the Tender Documents and recommendation for the Selected Agency	20%
Stage 7	Getting the Concession Agreement signed by the selected Agency	20%
	TOTAL	100%

Now, as per the TFR, it is clear that the project of Promodnagar is consisting of a) Removal of Legacy waste from the site through Bio-remediation of approximately 4.995 lakh ton from Promodnagar area and 1.098 lakh to from Kamarhati area, and dump the residue to Panihati area, b) Construction of Compost Plant and RDF facility of approximately 525 TPD and Bio-methanation Plant of approximately 100 TPD at Promodnagar area, c) Construction of

Compost Plant and RDF facility of 155 TPD at Kamarhati area, d) Operation and maintenance of the plants for 3 years and 15 years.

Now, the file is placed for approval of the TFR and payment of the same as proposed by the agency, for Stage- 2A and 2B, as per the agreement.

Submitted and oblige.

Addl. Mission Director, SBM(U)

09/09/2019

Executive Engineer (Civil)
W&S Sector, KMDA
SWM Cell
State Urban Development Agency

Let it be put up after Technical Committee meeting and its proceeding be referred.

4.9.19.

EE (BKP)

EE/SUDA

As per discussion and decision taken, Stage NO-2A i.e. submission of DFR by the TA as per the request of E&Y LLP, India. IINL01DL008682 dt 18th July, 19 5% of total payment may be released.
Put up for necessary perusal please.

S.K. Mishra
09/09/19

5% of total agreement value may be released, if approved Submitted.

Addl. M.D. SBM(U)

09/09/19

Pl. put up with proceedings of Technical Committee.

EE, SUM

12.09.19.

In continuation of NSP-14, please find 'Minutes of 3rd meeting of Task Force on SWM' held on 04.09.2019, attached herewith.

This is also to report that observation & modification as suggested by Technical Committee of above Task Force w.r.t. DFR for Cluster-I has been discussed with TA. They agreed either to incorporate the suggestion or to give clarification on the issues raised by the Technical Committee.

Under this circumstances payment relating to "Submission of DFR by the TA"(Stage-2A) @ 5% of total agreement amount (Ref. Appendix-G, Payment Schedule, Contract Agreement) may be released in favour of M/s E&Y, if approved.

Submitted.

Add. Mission Director, SBM(U)

for 21/9/19
Executive Engineer (civil)
W&S Sector, KMDA
SWM cell, SUDA

May kindly be approved. Appendix G of contract agreement may be perused.

for
17.09.19.

Director

FO

Pi check & confirm.

for 15/9/19

M/S Ernst & Young, for cluster-1, has raised invoice for submission and acceptance of technical feasibility report which is 5% and 5% of the total contract price whereas it has been proposed in the note above for payment relating to submission of DFR only ie. 5%. May recheck and confirm.

EE(SBM)

for 20.09.19.

rechecked & confirmed stage 2A payment as per payment schedule

for 22/9/19

EE(SBM)

M/S Ernst & Young has raised a consolidated invoice for stage 2A & 2B (50% of 50%) along with 1 GST Pl. discuss. for 24/9/19.

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NOTE SHEET

SUDA

Continuation of notes prepaged, as discussed with Director, SUDA, it is sent to F.O./SUDA for further action please

F.O./SUDA

25/9/19

Acct(P)

Pl. process the file for 50% release of the amount of invoice (Stage 2A - 50% of the contract price)

Y. Hore
25.09.19.



NOTE SHEET



As per notes and orders at prepage

As approved by Director, SUDA at prepage, to release the payment in favour of "ERNST & YOUNG LLP" 01 (One) no. of Transfer Advice amounting to Rs.1,53,365/- (Rupees One Lakh Fifty Three Thousand Three Hundred Sixty Five only) onward submission to Axis Bank Ltd., Salt Lake, Sector-II Branch as detailed below, has been prepared and placed herewith for signature of Director, SUDA and Finance Officer, SUDA please.

Pay order endorsed on the body of the bill is for signature of Finance Officer, SUDA please.

Name of Payee	Particulars	Gross Amt.	(10%) TDS Amt.	(2%) IGST Amt.	Net. Amt.
ERNST & YOUNG LLP	Release of Payment for Submission and acceptance of Draft Feasibility Report by TA (For Cluster No.1: Dum Dum, North Dum Dum, South Dum Dum, Baranagar, Kamarhati & New Barrackpore) vide invoice no.IINL01DL008682, dated 08.07.2019 against this office order no.SUDA-366/2019/6604, dated 23.09.2019.	1,70,727/-	14,468/-	2,894/-	1,53,365/-

Finance Officer, SUDA
AND
Director, SUDA

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FO

FO

[Handwritten signature]
26/09/19

[Handwritten signature]
27.09.19

[Handwritten signature]
27/9/19

[Handwritten signature]

In reference to the following mails -

- Query against Technical Feasibility Report for Cluster - 1. on 9th Sep, 2019.
- Minutes of 3rd Meeting of Task Force on SWM on 17th Sep, 2019.
- Clarifications for the queries on TFR and finalization of plant capacities for Cluster 1. Received mail on 01st Oct, 2019.
- Ernst and Young LLP - Outstanding Invoices over 30 days old. Received mail on 9th Oct, 2019.

Incorporation of all the explanation/clarification, data, report calculations in detail, etc in draft Technical Feasibility Report may be done by the TA against all the queries made on 9th Sep, 19 and as per Minutes of 3rd Meeting of Task Force on SWM on 17th Sep, 19 for verification of the technical feasibility of the project.

It is to mention here with that in the scope of the work regarding submission of TFR by the TA cost involvement in the project must be included but as per explanation it has been observed that the cost involvement is only indicative which may not be accepted. Proper documentation regarding all the data provided in the draft TFR is felt necessary.

Put up for your information and necessary suggestion please.

EE/SUDA

S.K. Mishra
AE/SUDA
26/10/2019.

Notes above, M/s E&Y may be requested to submit one copy of Draft Technical Feasibility Report for cluster-I, incorporating queries & suggestion made by Task force committee along with proper ~~revisions~~ basis of data, design criteria, cost involvement used in the report.

Submitted.

Director, SUDA

BE(SUDA)

S.K. Mishra
17/10/19

S.K. Mishra
17/10/19

S. Mishra/AE

Prepare draft.
S.K. Mishra
17/10/19

EE/SUDA

Draft letter prepared and submitted for further necessary action please.

S.K. Mishra.
18/10/19

Director, SUDA

Draft prepared and attached for kind perusal.

S.K. Mishra
18/10/19

A meeting ~~was held~~ with "Technical Committee / Task Force Committee for SWM" was held on 23/10/19 at 1.30 PM in the Chamber of The Director, SUDA.

The said Committee discussed and suggested several important points with M/S Ernst & Young, TA engaged for scientific planning of SWM for Cluster - I, II & IV. and advised to incorporate those points to TFR as far as practicable.

A Draft "Minutes of the Meeting" is placed for kind perusal please. (Placed at flag-'H')

Submitted

13/11/19

~~The Director, SUDA~~

EE(SD)

13/11/19

I am directed to prepare a draft letter regarding "Request for providing necessary information for SWM cluster project at Pramod Nagar" is being submitted herewith.

S.K. Mishra.
28/11/19.

Draft letter is placed for kind perusal please.

29/11/19

~~Asst. Mission Director, SWM(U)~~

Placed for kind signature.

30.11.19.

~~Director~~

EE(SUDA)

May be discuss.

6/12

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NOTE SHEET

SUDA

Ernst & Young LLP has submitted tax invoice no - IINL01DL011004 date 03rd January, 2020 for submission of draft RFP for cluster-1 amounting to Rs.1,70,727.38. As per Contract Agreement & Payment Schedule stage - 4A, 5% of the total amount may be released for submission of Draft RFP for Cluster - 1.

EE/SUDA

Put up for necessary information and taking further necessary action please.

S.K. Mishra.
15/01/2020

Note above may please be seen. Ely has submitted above Invoice for payment ^{related to} submission of draft RFP ^{for} Cluster - I amounting to Rs 1,70,728-38. (Placed a CP side) being 5% of Contract amount. As per Agreement & Payment schedule - Stage - 4A, the above amount Rs 1,70,728-38 may be released in favour of Ely for submission of Draft RFP for Cluster Project - I.

Submitted.

16/01/2020.

SB/SUDA

submitted for kind perusal & approval.

AMD/SW/01/SUDA

16/1/20

Pl. connect on of payment milestone and availability of fund.

17.01.2020.

F.O

M/S Ernst & Young was earlier paid 3 installments of the total contract price @ 5% each , against submission and acceptance of inception report (Stages 1A and 1B) and submission of draft feasibility report (Stage 2A) . Though acceptance of Draft feasibility report and submission of final feasibility report being stage 2B and 3 are still pending, as per discussion with Director SUDA, payment related to submission of the reports at each stage may be released when submitted by the agency.

Accordingly, payment, being 5% of the total contract price, against submission of draft RFP (Stage 4A) may be released.

AMD(SW)

03/02/2020.

NOTE SHEET

SUDA

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Proposal page regarding ^{release of} 5% of total contract price may kindly be approved.

4.2.2020.

Director

FO

Acct(P)

Payment as proposed.

Please process for release of payment.

date 4/4/20

Y. Hossain
04/02/20



NOTE SHEET



As per notes and orders at prepage

As approved by Director, SUDA at prepage, to release the payment in favour of "ERNST & YOUNG LLP", 01 (One) no. of Transfer Advice amounting to Rs. 1,53,365/- (Rupees One Lakh Fifty Three Thousand Three Hundred Sixty Five only) onward submission to Axis Bank Ltd, Salt Lake, Sector-II branch as detailed below, has been prepared and placed herewith for signature of Director, SUDA and Finance Officer, SUDA please.

Pay order endorsed on the body of the bill is for signature of Finance Officer, SUDA please.

Name of the Payee	Purpose of Payment	Gross Amt.	GST (2%) Amt.	TDS (10%) Amt.	Net Amt.
ERNST & YOUNG LLP	Release of payment for submission of Draft RFP for cluster-1 against invoice no.IINL01DL011004, dated 03.01.2020.	1,70,727/-	2,894/-	14,468/-	1,53,365/-
(Rupees One Lakh Fifty Three Thousand Three Hundred Sixty Five only)					

Finance Officer, SUDA
AND
Director, SUDA

[Signature]
FO(PAS)

[Signature]

EE/SWM

[Signature]
04/02/20

[Signature]
04.02.20

[Signature]
4/2/20

[Signature]
4/2/20

[Signature]
6/2/20



NOTE SHEET



Ernst & Young LLP has submitted the following invoices

- ✓ 1. Tax invoice no - IINL01DL008682 08th July, 2019 for submission and acceptance of Draft Feasibility Report for cluster - 1 amounting to Rs.3,41,455.00.
- 2. Tax invoice no - IINL01DL011005 date 03rd January, 2020 for submission of Final Feasibility Report for cluster - 1 amounting to Rs. 1,70,728.00.
- ✓ 3. Tax invoice no - IINL01DL011004 date 03rd January, 2020 for submission of draft RfP for cluster - 1 amounting to Rs. 1,70,728.00.
- 4. Tax invoice no - IINL01DL011003 date 03rd January, 2020 for acceptance of Draft RfP for cluster - 1 amounting to Rs. 3,41,455.00.
5. Tax invoice no - IINL01DL011002 date 03rd January, 2020 for submission of Final RfP for cluster - 1 amounting to Rs. 3,41,455.00.

Among the above listed invoices part payment for invoice no IINL01DL008682 [for submission of Draft Feasibility Report] amounting to Rs,1,70,728.00 [i.e. 5% of the tender value] and payment for invoice no IINL01DL011004 [for submission of Draft RFP] amounting to Rs,1,70,728.00 [i.e. 5% of the tender value] has been released by this office keeping in hold the other invoices due to non acceptance of the Feasibility Reports and RfPs by the authority as per tender agreement.

It is to note that the Project Evaluation cum Technical Committee under SWM scheme has given appraisal towards final TFR for the said cluster on 27th February 2020 has been placed before Principal Secretary on dated 02.01.2020. Principal Secretary has requested to accord Administrative Approval on urgent basis & the file has moved to Finance department from UD&MA. As per guidance of Principal Secretary the RFP for cluster - 1 has been floated on 30th December, 2019 vide tender ID - 2019_MAD_262852_1 but no bidder participated in the said bid process.

It may be processed to release of total amounting to Rs, 6,82,910.00 against the invoices (amounting to Rs, 1,70,727.00 against Invoice No IINL01DL008682, amounting to Rs,1,70,728.00 against Invoice No IINL01DL011005, amounting to Rs, 3,41,455.00 against Invoice No IINL01DL011003,) after acceptance of TFR & RfP documents from competent authority.

Put up for necessary information and taking further necessary action please.

EE/SUDA

S.K. Mishra
19/05/2020

Notes above may please be perused.
This may be processed to release an amount of Rs 6,82,910/- in favour of ~~the~~ Ernst & Young LLP after acceptance of Feasibility Report and RFP documents from Competent Authority.

[Signature]
28/5/2020

SE/SUDA

Notes above may kindly be perused.

Accordingly draft letter regarding approval of draft TFR and RFP placed in file for your kind perusal and approval.

If approved we can release the payment to M/s. Ernst & Young LLP.

Submitted.

Draft letter signed.

[Signature]
17.06.2020. 17/6/20

Director/SUDA

SE/SHM

Action taken through e-office

EB/SUDA

[Signature]

19/6/20

FO/SUDA

~~FO/SUDA~~

for. a ap.

[Signature]
19/6/20

Copy of notes & orders extracted from E-File No.SUDA-15014(21)/1/2020-ENGG SEC(SUDA)-SUDA (Computer No.284030) may kindly be seen.

As approved, to release the payment to Transaction Adviser for Cluster-4 out of A & OE, SBM in favour of Ernst & Young LLP against Invoice No.IINL01DL011003 dt. 03.01.2020, IINL01DL011005 dt. 03.01.2020 and IINL01DL008682 dt. 08.07.2019, a transfer advice amounting to Rs.6,13,460/- (Rupees Six Lakh Thirteen Thousand Four Hundred Sixty) only is prepared and placed herewith for signature of Finance Officers, SUDA please for transmission of the same to the Axis Bank Ltd., Salt Lake, Sector-II branch.

Finance Officer

(PAS)

[Signature]
19-06-2020

[Signature]
22.06.20.

[Signature]
22/6/20



NOTE SHEET



Copy of notes & orders extracted from E-File No.SUDA-15014(21)/1/2020-ENGG SEC(SUDA)-SUDA (Computer No.284030) may kindly be seen.

As approved, to release the payment to Transaction Adviser for Cluster-1 out of A & OE, SBM in favour of Ernst & Young LLP against Invoice No.IINL01DL011002 dt. 03.01.2020, a transfer advice amounting to Rs.3,06,729/- (Rupees Three Lakh Six Thousand Seven Hundred Twenty Nine) only is prepared and placed herewith for signature of Finance Officers, SUDA please for transmission of the same to the Axis Bank Ltd., Salt Lake, Sector-II branch.

Pay order endorsed on the body of the bill is for signature of Finance Officer, SUDA please.

Finance Officer

FO (PAS)

[Handwritten signature]
29.05.20

[Handwritten signature]
29.05.20

[Handwritten signature]