

Based on above criteria total families under NFSA-2013 beneficiary have come to 19,85,393 families which is almost close to the maximum limit of beneficiary that can be taken into consideration under SHG beneficiary in NULM (20,34,299 families).

F. NFSA- Beneficiary list has already been prepared and under the custody of SUDA.

Hence proposed that entire beneficiary list of NFSA-2013 may be taken under the preview of SHG beneficiary within NULM in West Bengal.

[Signature]
17/3/15
Joint Director (SD), SUDA

Director, SUDA
&
Mission Director,
WBSULM

Note from pre-page.

As stated at 'x' pre-page, in the operational guideline of NULM, there is scope of 30% (maximum) inclusion of urban people other than urban poor for formation of Self-Help Groups ^(SHG) and getting benefits under NULM. So there is a need to fix criteria for families of such persons outside existing BPL list. It may be mentioned that the existing BPL list is very old one (i.e. updated in 2005-10) and we are yet to receive criteria for BPL for 2011 for prep'n of fresh BPL list from SECC final survey data.

In view of that above for the present purpose of formation of SHGs under NULM we may allow UCB1 to include maximum 30% beneficiary out of existing beneficiary list of NFSA-2013 as proposed at 'y' above

[Signature]
17/3/2015

Principal Secretary
M. A. Deptt
& Chairman, SUDA

O.No. SUDA: 224/15

Dated: 17.3.15

File No: 79/2015

[Signature]

pl. fee & post mp

Dep't sending the file to MIC, 18/3

JAL (MC) it may be ascertained how this 30% additional inclusion will be identified for group under NULM. Criteria for identification of eligible persons. To clarify this

[Signature]
23/3/15

- A. A letter has been received from Mayor, Howrah Municipal Corporation regarding allowing them to consider EWS people into the definition of urban poor for selection of beneficiaries under NULM. SECC data has not yet been published and as per their opinion present BPL list is erroneous.
- B. As per para 4 of NULM guideline under Social Mobilization and Institutional Development "under NULM Self Help group of urban poor will be formed. Now poor may be included as member in SHGs where strong affinity or special reason exists. However at least 70% of the SHG member should be urban poor to qualify for funding support under NULM."
- C. Beneficiaries under National Food Security Act (NFSA-2013) have been identified from SECC data using the identification methodology given by Planning Commission of India. [Methodology Guideline and eligibility criteria for selection of eligible beneficiaries under National Food security Act (NFSA-2013) as approved by Hon'ble MIC MA & UD Dept placed in Flag-A]
- As per eligibility criteria as approved by Hon'ble MIC total no of household coming under Automatic Inclusion in Below Poverty line among entire urban household of West Bengal in about 14,24,033 nos of families i.e 31.30% of total urban families of 45,49,601 as per SECC data (page 5 of the said approved note sheet.)
- D. Now as per point B of this note sheet SHG's containing 70% urban poor and 30% above poverty line people can get the benefit from NULM funds.
- X So there is a scope for inclusion of 30% above poverty line people within the beneficiary list of SHG in NULM. Hence there is a scope to include nearly 6,10,299 nos of families (30% of 14,24,033 nos) within the ambit of beneficiary list of SHG in NULM. So total beneficiary household under SHG in NULM may come to (20,34,332 nos.)
- E. As per the approved note sheet of Hon'ble MIC for selection of beneficiaries under NFSA-2013 eligibility criteria having score 4 to 12 including figure of Automatic inclusion has been taken into consideration to obtain total beneficiary under NFSA-2013.

As per direction in previous page identification criteria's for 30% additional household are as follows :-

Socio Economic and Caste Census stratify the Socio Economic condition of the households based on Residential, Occupational & Social Vulnerabilities which includes **Automatic Inclusion, Automatic Exclusion and Scoring index 1 to 12 on twelve (12) vulnerabilities of remaining households** [attached for ready reference at CP - 6-8]. Using these 3-Stage Identification Process viz. **Automatic Inclusion, Automatic Exclusion and Scoring index of remaining households** frequency distribution of the Urban households based on these degree of deprivation criteria as per Draft SECC result is shown in the table below in brief :

Sl. No.	Criteria	No. of Household	HHs %	Total Population as per Draft SECC-2011 Data
A)	Total Households as per SECC-2011	45,49,601	100.00 %	1,94,26,796
B)	No. of Households under Automatic Exclusion	12,36,041	27.17 %	52,77,895
C)	No. of Households under Automatic Inclusion	14,24,033	31.30 %	60,80,621
D)	No. of Households falling under Scoring Index Criteria	18,89,527	41.53 %	80,68,280

I. AUTOMATIC EXCLUSION : Using the methodology for identification of Urban Poor , at the first instance automatic exclusion of households criteria applied based on indicators listed below :

Criteria	Number of Households	Percentage
1. No. of dwelling rooms exclusively in possession of the household in 3 and above (Dwelling room with well of concrete or burnt bricks or stone packed with mortar; roof of concrete or burnt bricks or machine made tiles)	9,90,448	21.77%
2. Households possessing any one of the following: i. 4 wheeler motorized ; ii. A.C. & iii. Computer or laptop with internet	2,41,330	5.31 %
3. Households possessing any 3 of the following: i. Refrigerator (non-commercial) ; ii. Telephone (land-line) iii. Washing machine (non-commercial) & iv. 2 wheeler motorized vehicle	4,263	.09 %
TOTAL HOUSEHOLDS AUTOMATICALLY EXCLUDED	12,36,041	27.17%

II. AUTOMATIC INCLUSION : In the second stage, the defined criteria for automatic inclusion of households had been applied. The households facing any of the vulnerabilities listed as under may be automatically included.

The following automatic inclusion criteria had been applied in the second stage:

a) Residential Vulnerability	HHs	%
i. Household is Houseless	11999	0.26
ii. HHs has a house of roof and wall made of plastic/polythene	6666	0.15
iii. HHs has a only one room with the material of wall being Grass/thatch/bamboo / mud /unburnt-brick/wood and the material of roof being Grass/thatch/bamboo /wood/mud	33600	0.74
b) Occupational Vulnerability	HHs	%
i. HHs has no income from any source	146493	3.22
ii. Any HH Member who is engaged in a vulnerable occupation like Beggar/ragpicker/domestic worker/sweeper/sanitation worker/Mali	105698	2.32
iii. All earning adult members are daily/ir-regular wagers	996222	21.90
c) Social Vulnerability	HHs	%
i. Child Headed Household ie. No member aged 18 years and above	1387	0.03
ii. All members of the Household aged between 18-60 years either have a disability or are chronically ill	7961	0.17
iii. All earning Adult Members are either disabled, chronically ill or aged more than 65 Years	114007	2.51
TOTAL NUMBER OF HOUSEHOLD AUTOMATCALLY INCLUDED	14,24,033	31.30

III. Scoring Index on the remaining Households: Once the automatic exclusion and inclusion criteria had been applied, in the third phase the remaining households were ranked on the basis of an index score with an overall cap to 12 points (maximum of 5 points for residential vulnerability, maximum of 5 points for social vulnerability and maximum of 2 points for occupational vulnerability). Taking the Index Score into consideration as per criteria, Score 12 is most deprived Households whereas gradually deprivation is being decreased to Score 0, which is lowest deprivation.

a) Residential Vulnerability

Sl.	Indicator	Score
A	Households living in houses with roof of Grass/Thatch/bamboo/wood/mud etc. and wall of grass/thatch/bamboo etc.	2
B	Households living in houses with roof of handmade tiles or G.I/metal/asbestos sheet and wall of mud/ unburnt brick or wood or stone not packed with mortar or G.I/metal/asbestos sheets.	1
C	Household with non-availability of drinking water source within or near the premises	1
D	Households with main source of lighting other than electricity	1
E	Households with no exclusive water-seal latrines	1

Maximum Score -5

b) Social Vulnerability

Sl.	Indicator	Score
A	Female-headed households i.e. households where there is no adult male member or where the principal bread-earner in the family is a woman.	2
B	Schedu Caste (SC) households	2
C	Schedu Tribe (ST) households	2
D	No Literate Adult	2
E	No adult in the household educated up to primary level	1
F	For every Disabled/ Chronically ill person in the household	1

Maximum Score -5

c) Occupational Vulnerability

Sl. No	Indicator	Score
A	Any of the following occupation of the head of the household: <ul style="list-style-type: none"> • Street vendor/cobbler/bawker • Construction/ plumber/masson/labour/painter/ welder/ sec guard • Home-based/ artisans/Tailor • Transport worker/driver/conductor/helper to drivers and conductors/ cart puller/ rickshaw • Washermen/ Dhobi/ Chowkidar • Coolie/ Head-loader 	2
B	Any of the following occupations of the head of the household: Shop-worker/ Assistant/ Helper/ Peon in small establishment/ Attendant/ Waiter Electircian/ mechanic/ assembler/ repair worker	1
C	Households were the main source of income of the head of the household is through a weekly/ daily wage earning.	2
D	Household where there is no enterprise/ wage earning i.e. non-work and the earnings chiefly through any one of the following means i.e. (a) Pension; (b) Interest; and/ or (c) Rent.	1

Maximum Score -2

The following Scoring Index on the remaining households had been applied in the third stage:

Distribution of Households as per Deprivation Score 12 TO 0

(Taking the Score into consideration, Score 12 is most deprived Households whereas gradually deprivation is being decreased to Score 0, which is lowest deprivation)

A.		Distribution of Households as per Deprivation Score 12 TO 0 (Taking the Score into consideration, Score 12 is most deprived Households whereas gradually deprivation is being decreased to Score 0, which is lowest deprivation)	No. of HHs	Cumulati ve No. of HHs including Auto Included HHs	% w r to Total HHs	Cumulative No. of Population including population covered under "Auto Inclusion"
A	1.	No. of Households having Score 12	2	14,24,035	31.30	60,80,629
A	2.	No. of Households having Score 11	80	14,24,115	31.30	60,80,971
A	3.	No. of Households having Score 10	827	14,24,942	31.32	60,84,502
A	4.	No. of Households having Score 9	31,765	14,56,707	32.02	62,20,139
A	5.	No. of Households having Score 8	12,314	14,69,021	32.29	62,72,720
A	6.	No. of Households having Score 7	82,484	15,51,505	34.10	66,24,926
A	7.	No. of Households having Score 6	53,912	16,05,417	35.29	68,55,131
A	8.	No. of Households having Score 5	2,28,060	18,33,477	40.30	78,28,947

A	9.	No. of Households having Score 4	1,51,916	19,85,393	43.64	84,77,628
A	10.	No. of Households having Score 3	2,18,045	22,03,438	48.43	94,08,680
A	11.	No. of Households having Score 2	4,25,876	26,29,314	57.79	1,12,27,171
A	12.	No. of Households having Score 1	1,32,747	27,62,061	60.71	1,17,94,000
A	13.	No. of Households having Score 0	5,51,499	33,13,560	72.83	1,41,48,901
B	1.	No. of Households automatically excluded	12,36,041	45,49,601	100.00	1,94,26,796

From the table it transpires that 85 Lakh ie. 43.64% of total population will be covered if "the degree of deprivation up to score - 4 is being considered. This figure includes the individuals coming under automatic inclusion. It is worthwhile to mention here that, Committee recommended for adopting the criteria of " the degree of deprivation within the range of Score 4-12" in addition to the number of households considered for automatic inclusion for identifying beneficiaries under National Food Security Act -2013 (NFSA), as already approved by Hon'ble Minister-in-Charge, MA & U.D Departments. Relevant documents placed in the file for your kind perusal at CP 9- 29). Accordingly, Notification has been issued from the Food & Supplies Department, Govt. of West Bengal regarding criteria to determine eligible households in the Urban Areas , including AAY and Priority Households for the purpose of extending benefits under National Food Security Act -2013. [CP 30-32].

Now as discussed in point D, NSP- 1 we may consider automatically included household i.e 14,24,033 in nos (31.30% of total urban household) as urban poor and the household falling within the range score of 4 to 12 amounting to 5,61,360 household within 30% category. Both the list of automatically included categories and household falling under range score of 4 to 12 for all the ULBs (i.e 70% category list and 30% category list as required for NULM) have been prepared and at the disposal of SUDA. Hence the proposal as made in point no F at NSP-2 to include entire list of NFSA-2013 as a beneficiary household for SHG formation in NULM may kindly be approved.

Submitted for Approval.

M. Sen
17/9/15
Joint Director (SD), SUDA

Director, SUDA
&
Mission Director,
WBSULM

Principal Secy
M.A. Dept
& Chairman SUDA

U.O. No. SUDA: 887/15

Dated: 22-04-15

No. 79/2015

In pursuance of further instruction at NSP-2, details of criteria for selection of beneficiary under NFSA, which was earlier approved by Hon'ble M.C. & forwarded to Food & Supply Dept is narrated above at NSP 3 & 6. It may be mentioned that Food & Supply Dept has already issued notification in this regard on 16.9.2014, a copy of which is placed at CP side.

See 2/our up. hml
22/4

JMC

Observation of the department may please be seen at nsp/2.

Accordingly, JSDA has sent detail note on selection of beneficiaries under NULM.

Accordingly, 'A' at nsp/6 may be approved.

[Signature]
12/5/2015

[Signature]
14/5/15

[Signature]
20/5/15

~~A/Sy, HA~~

~~MHC~~

~~Jc(ND)~~

~~Jc(SD)~~

To intimate the decision as approved by HMIC to all NULM form a draft is placed for kind approval and signature.

[Signature]
29/5/15

~~Director~~

~~Jc(SD)~~

Draft re-submitted incorporating the changes directed.

[Signature]
29/5/15

[Signature]
2/6/15

Draft nsp - FC

[Signature]
8/6/15

~~Director~~

~~Jc(SD)~~

Adviser

FC as modified after consultation is placed for kind signature.

[Signature]
1/7/15

~~Director~~

~~Jc(SD)~~

[Signature]
2/7/15

on the basis of the letter from govt. of India dated 17th February, 2016 on subject of BPL population in NULM cities - reg. We are submitting the document to the govt. of India.

S.P. Das

~~S.P. Das~~

X

MOHUPA vide letter no F.M-K-14014/11/2014-UBA/F.T.S-10201 sought information regarding fixing of eligibility criteria in selection of beneficiaries under NULM.

As per approval of HMIC at NIP-6 decision regarding beneficiaries under SH by D has been communicated to all ULBS (Flag-X)

We may reply to GO MOHUPA, GOI in format provided by them in this regard.

Draft placed may be approved & signed.

M Das
15/2/16

~~Director~~

Reference letter (X above) not found. Instead of forwarding our letter addressed to ULB, a summary of content to be forwarded to all. A revised draft pl.

WT
16/3/16

~~SP(SD)~~
~~Pleno~~
~~SMM-SMID~~