

Letter ref. no. DO No. K-14011/3/2011-UPA Dtd. 3/1/2012  
from Addl. Secretary & Mission Director (Jmurm)

A draft guidelines on National Urban Livelihood Mission (NULM), proposed to be launched by HUPA Ministry, GOI, has been received under the aforesaid memo.

On perusal of the Draft document, a few observations/suggestions have been made at this end.

A list of such observations along with the draft document received, are put up for perusal pl.

~~H. D. D.~~

Cow  
29.2.12

The observations and suggestions in respect of different domain areas of the proposed NULM are put up in RHS (Flag 'A') which may be perused.

If approved, a letter containing the suggestions may be sent to the Addl. Secretary & Mission Director, Jmurm for consideration.

*[Signature]*  
1/3/12

~~Dia Jura~~

Dr. P. K. Mohanty, Addl. Secretary & Mission Director (JNNURM) vide his D. O. Letter No. K-14011/3/2011-UPA dated 3<sup>rd</sup> January, 2012 has submitted a based document i.e. concept note for proposed National Urban Livelihoods Mission (NULM) seeking comments and suggestions from this end. Also a National level consultation on "Mission Mode Approach to Urban Livelihoods" was held on 24<sup>th</sup> January, 2012 at New Delhi wherein officers from Municipal Affairs Department and SUDA were participated and given some suggestions. On further studies of the concept note on NULM some more suggestions based on lessons from the implementation of SJSRY in the State during the past years is enclosed in a tabular form for kind perusal and sending the same to Govt. of India.

If considered, the same may be <sup>communicated</sup> ~~sent~~ to the Addl. Secretary and Mission Director (JNNURM), Ministry of Housing & Urban Poverty Alleviation, Government of India.

A draft letter is also placed below in anticipation.

*TSJ*  
Director 9/3/12

Principal Secretary  
Municipal Affairs Deptt.

U/O no- 311/2012

dt-09-03-12

File no- 17/2012

**Sub : Changes suggested in the draft EOI of GOI under NULM**

Draft Expression of Interest (EOI) for empanelment of training institutes under the proposed National Urban Livelihood Mission (NULM) placed in the file (flagged 'X') may kindly be seen.

The draft EOI received from GOI has been closely studied & discussed. It is apprehended that implementing the draft EOI in its unaltered form might keep out training institutes with low capital base but with reasonable degree of credibility.

In order to ensure an effective training process with a deeper understanding of the ground realities in the State, a few alterations / modifications in the eligibility and evaluation criteria specified in the draft EOI of GOI are suggested below.

**A) Eligibility Criteria:**

- Turnover criterion of at least Rs.50.00 lacs in each of the last three years as suggested by GOI appears to be high. If this criterion is strictly put into practice, it is likely to leave out many smaller training institutes with good track record in the field of skill training.
- Leaving out smaller institutes might not augur well for the programme because some of these institutes conduct some off-beat training courses which are not only well designed but well linked to the ground realities as well. Moreover, training faculties deployed by these institutes are often culturally closer to the target beneficiaries and hence have high degree of acceptability among the target beneficiaries leading to a deeper penetration among the target group.
- On most aforesaid considerations, the reputed organizations do not always fare well. Spatial reach of big institutes is often limited having presence only in larger cities and towns. On turnover considerations therefore even if these institutes might score high, might fall well short of touching the lives of a large percentage of urban poor especially those residing in remote little towns. In addition, most trainers of the reputed institutes come with a social background radically different from that of the target groups leading to a glaring communication gap between the trainers and the trainees which in the process adversely impact the desired training outcome.
- Experience of the State with reputed Training Institutes in the area of post-training placement has not been pleasant. Many of these institutes have miserably failed to provide jobs/ job opportunities to the successful trainees at the end of training. They have tended to show little inclination

towards providing hand holding support to the successful trainees intending to set up self-employment ventures.

In order to improve matters, phase-wise release of training fees to the training institutes have been introduced in the State linking it to job placement support / hand holding support by the training institutes after completion of training but desired outcome continues to remain elusive.

X | It is therefore suggested that the **annual turnover criteria** may be reviewed either scaling it down or left to the discretion of the State.

- Reputed Training Institutes are found to mete out differential treatment to the non-sponsored candidates vis-a-vis the sponsored trainees.

#### B) Evaluation Criteria:

- In d & e of the laid down eligibility criteria, it is presumed that training institutes will centrally conduct the training programmes in the ULBs. Conducting training in a centralized manner might work well only in large towns and cities where regular communication facilities are available. The centralized training mechanism may also fail to ensure the much sought after training reach and spread. In smaller ULBs, where communication/transport facilities are often inadequate, training model might be a better option. In these ULBs too on centralized training model might trigger irregularity absenteeism and encourage drop outs in the training program.

Y | It is suggested that sufficient weightage be given to the training institutes having capability to impart training on a decentralized basis and using all possible modern technology/ methods/equipments over the centralized model.

Aforesaid changes / alterations suggested may therefore be taken up with the Govt. of India so that adequate number of well-performing training institutes can be brought within the ambit of approved skill training institutions. Larger number of empanelled training institutes in the State is a critical requirement for ensuring wider coverage and reach among the urban poor in the State.

See.

The note is exhaustive and self explanatory. It appears that the draft EOP prepared

and ~~submitted~~ for empanelment of trainers agrees for imparting training under

the ESTP component of the proposed NULM with keenly on big and

centralized training institute having a solid economic base and adequate infrastructure

facilities. Keeping in consideration the target group and the limitation in the spatial reach,

the focus should shift from big institutes ~~max~~ a ~~set~~ effort training courses

centrally or within a small periphery to smaller institutes with good track record in

its field of activity and a wider reach. For this the eligibility conditions ~~as prescribed~~

should be relaxed ~~in~~ <sup>more</sup> in tune with the ground realities to make it inclusive.

Nothing ~~just on~~ ~~the~~ <sup>to</sup> be used as a <sup>reference</sup> for must use it as a <sup>guide</sup> <sup>to</sup> <sup>make</sup> <sup>it</sup> <sup>inclusive</sup>.

Love will not ~~not~~ ~~the~~ <sup>the</sup> true picture. In view of 'x' and 'y' may be

considered & approved.   
 8.7.12

A draft EOP be prepared early considering proposals at 'x' & 'y' along

for further discussion with state committee members and seeing approval of Mr. Seeng

Member after.

JK

Notes & observations prepape:

On the basis of observations & comments made by MA Dept., a modified AAP for 2014-15 under NULM is prepared & put up for present approval. On approval, the Action Plan will be sent to HUPA Ministry, GOI.

~~H. Dir~~

Ca  
16.1.14

Seen. The modified AAP may be seen and approved. If approved, the same may be sent to M. HUPA for a/c

Jan  
18.1.14

Opinion pl. - WT 18/1/14

~~FA~~

Director

The financial status of fund since released under SSSRY along with annual estimated expenditure for the prospective N. U. L. M has been examined by the undersigned and it is found correct & appropriate within the achievable target set in conservative way. Hence, the same may be sent to the H. A. <sup>G.O.I.</sup> for consideration.

WT  
18/1/14

Director  
Adviser

The forwarding letter for sending the NULM AAP for 2014-15 to HUPA Ministry, GOI may pl. be signed.

Ca  
17/1/14

WT 18/1/14

Ref: 183/MA/C-10/35-30/2013 dt. 28.2.14 of  
H. Secy. MA Deptt.

PND may kindly be seen.

A draft reply is put up for signature pl.

D. P.  
Admin

C<sub>60</sub>  
6.3.14

W. T.  
25/3/14

- Finalization of NULM State Annual Action Plan for 2014-15

As per discussions of the meeting with MOHUPA officials on 11.3.14 in Delhi, a modified State Annual Action Plan (draft) under NULM for 2014-15 is prepared & put up for perusal & approval. If approved, the AAP may be submitted to MOHUPA, GOI for necessary action at their end. Draft forwarding letter accordingly may be signed.

D. P.  
Admin

C<sub>60</sub>  
14/3/14

W. T.  
14/3/2014

A. send the letter through speed post.

Office

C<sub>60</sub>  
14/3/14

Ann. A & B of the revised AAP of NULM for 2014-15 may be sent to HUPA Ministry. Forwarding letter may pl. be signed.

Admin

C<sub>60</sub>  
25/3/14

W. T.  
25/3/14

Workshop on implementation of NULM

In order to discuss various issues pertaining to implementation of NULM in the state, a workshop has been arranged at SUDA Conference Hall on 11th April, 2014 at 12 noon. The said workshop will be attended by Director, SUDA, Financial Advisor, officers from MA Dept. & few officials from SUDA/CMV besides TPO/COs/officers from few selected NLBn. In all, around 30-35 persons are expected to attend the workshop. Working lunch/snacks may be arranged for the participants at the workshop.

Put up for approval.

Dr. /r

Advisor

A.O

Gm  
7/4/14

Gm  
31/3/14

WT  
21/4/14

CA  
27

09/04/14

124th SLBC Meeting for West Bengal

A letter addressed to Convenor, of SLBC, West Bengal is drafted for inclusion of implementation of NULM in the state in the Agenda notes prepared for the aforesaid meeting.

Draft letter is put up for approval. If approved, the same may be signed pl.

Dr. /r

Advisor

Gm  
2/4/14

WT  
21/4/14

seen  
1-cc  
24.14

Advisor

27  
09/04/14



A video conference was held on 12.06.2014 to review the progress made under NULM in the State. Jt. Secretary, MoHUPA, GOI, during the course of review, stressed on accelerating the pace of implementation of NULM in the state. A number of important decisions / suggestions that were given at the said video conference to facilitate implementation of NULM in the state are listed below.

1. A formal proposal may be moved by the State to HUPA Ministry for bringing more towns in the state under the ambit of NULM ;
2. EOI for empanelment of skill training providers (STP) under NULM may be floated at an early date;
3. Before finally floating the said EOI/RFP, a draft EOI/RFP may be sent to MoHUPA for comments/observations/suggestions of the HUPA Ministry;
4. Pending process of selection of STPs through the bidding process, the existing STPs may be engaged for skill training on a selective basis based on their past performance particularly in the area of job placement;
5. Govt. institutes are not required to participate in the bidding process and may directly be empanelled as STP under NULM ;
6. Apart from the empanelled STPs, private industry houses may also be allowed to conduct job-oriented training courses on a selective basis provided prospect for job creation is found to be satisfactory;
7. For selection of Resource Organisation (RO) , the states may only float technical bid rather than going for both technical and financial bid;
8. CDSs can be empaneled as ROs;
9. The NULM guidelines may be translated into Bengali;
10. The translated version of the guidelines may be sent to HUPA Ministry for printing 500 copies of the same;
11. Payment may be made to both NULM and non-NULM towns out of the existing SJSRY fund for meeting all the committed liabilities made under SJSRY upto 31<sup>st</sup> March 2014;
12. The balance fund remaining under SJSRY after meeting all the committed liabilities is to be treated as NULM fund which can be spent for poverty alleviation activities in the NULM cities / towns only.

In conjunction with the suggestions made, the following actions have already been initiated by SUDA relating to implementation of NULM in the state.

- i) A draft D.O letter requesting coverage of all towns in the State under NULM is placed in the file (flagged-X);
- ii) Draft EOI for empanelment of STPs has been prepared and will be submitted to MA Deptt. shortly for approval after the same is examined by the proposed committee on EOI set up under the chairmanship of Jt. Secretary, MA Deptt.

- iii) Draft EOI for empanelment of RO likewise has been prepared and will be submitted to MA Deptt. shortly after the same is examined by the proposed EOI committee set up under the chairmanship of Jt. Secretary, MA Deptt ;
- iv) Draft vernacular version of NULM Guidelines is already prepared and in the process of being finalised.

Placed for perusal & necessary instructions, if any.

Director

[Signature]  
13/6/14  
Adviser, SUDA

Note from NUP-11 date regarding important discussion/suggestion in the video conference with Ministry of HUDA, EOI held on 12-6-14 may kindly be seen (at para 1-12 at propose). Accordingly, following actions may be taken from MA Deptt / SUDA:

Para-1 - A draft DO. addressed to the Secretary, MoHUDA regarding coverage of remaining towns under NULM is placed for kind approval (under flag-'X')

Para-2 to 10 - Proposals/suggestions may be approved in principle.

Para-11 & 12 - May be processed from the end of SUDA in respective file.

Submitted for kind approval.

[Signature]  
13/6/14

Principal Secretary  
M. A. Deptt  
& Chairman, SUDA

Pl. see & purify

[Signature]  
16/6/14

U.O.No. SUDA: 73/14  
Dated: 16.06.14.

File - 17/12 OSD/DS

Pl. place copy of draft G.O in our NULM file & submit. Pl. also link in file in our file. Pl. submit on 23/6.

[Signature]  
23/6/14

HAC (20-10)  
Chairman

[Signature] Chairman  
Draft please  
25/6/14

Pl. from Arista  
Placed at copy side  
Dir SUDA  
25/6/14

Adviser  
30.6.14  
29/6/14



Sub: Workshop on NULM at Howrah Municipal Corporation

As decided, the undersigned has to attend a workshop on NULM at Howrah Municipal Corporation on 11/11/2014 accompanied by Sri Prabir Roy, PMU-II.

A confirmation letter regarding participation may be sent from this office for their convenience.

If agreed, a draft is put up for signature.

Sd/- D. D. (SD)

EDM  
7/11/14

Sri Tarak Dutta P.O. and Sri Prabir Roy, PMU-II may be allowed to participate the training programme at Howrah M.C on 11.11.14.

Director

M. Das  
7/11/14

D. D.  
7/11/14

T. Dutta (P.O.)

for n.a.

M. Das  
7/11/14

Action taken.

EDM  
16/11/14

Received a mail from WB SRLM in response to our letter - 17/2012(PT-3) / 1516(3) dt 4.11.2015 seeking the names of NAO/RO working under NR LM. NR LM has provided the names of empanelled RO working with them. In response to above letter we may :-

- ① Send to proposal seeking the opinion of NAO whether they are willing to work as RO under NULM set up.
- ② We may call them for a discussion in this regard on 19th October at 11 AM at SUDA

~~Draft~~ 4 (four) drafts prepared for Mr NAO's may be signed, if approved

Director  
Sd/- (SD)

Pl discuss  
EDM  
22/11/15

M. Das  
22/11/15

Fresh draft is put up as per direction.  
It. approve and sign.

Director  
JSCS

O.S. no-1637(3)  
dt-03.12.15

W. S. S.  
8/12/15  
W. S. S.

As directed, a draft letter regarding  
resignation of Honable members from  
Governing Council of WBSU is ~~sent~~<sup>being</sup> communicated  
to M.A Dept. for necessary action at their end.

Director

JSCS  
Adm. Secy

P. S.  
21/3/16

Adm. Secy  
C. S.

W. S. S.  
18/3/16

W. S. S.  
18/3/16